3rd Health Programme 2014-2020

2016 Calls for proposals for PROJECTS (PJ)

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What is a project?

A particular method of organising work that differs from standard business operational activities:

- **Different from routine activities:**
  does not involve the application of implicit or explicit procedures existing in the organisation to regulate day-to-day work

- **Different from improvisation:**
  more effective, but also more time-consuming entails a level of uncertainty or risk

- **Particularly useful to introduce innovations, address new challenges or find solutions for problems for which the existing procedures and routines do not accommodate**
What does the 3rd Health Programme say regarding co-funding of projects?

- Grant may be awarded to fund:
  - actions having a clear Union added value
  - In a priority area included in the annual work programme(s)
  - co-financed by other legally established organisations:
    - public sector bodies: research and health institutions, universities and higher education establishments
    - non-governmental bodies
    - private bodies
Calls for proposals for projects - 2016

- Call opened: 4 March 2016

- Calls close: 2 June 2016

- Electronic submission system

- 10.5 million Euros available for Projects
Project co-funding

- 60% of the total eligible cost
- 80% - if exceptional utility

Obligation for each applicant to contribute equally to the project's budget

The minimum required percentage of own contribution applies at both project and partner level
Exceptional utility

Co-funding may be up to 80%

3 criteria:

- At least 60% of the total budget of the action is used to fund staff
- At least 30% of the budget of the proposed action is allocated to Member States whose gross national income (GNI) per inhabitant is less than 90% of the Union average.
- The proposal demonstrates excellence in furthering public health in Europe and has a very high EU added value.

*It is your responsibility to ensure that the proposals complies with criteria 1 & 2*
Topics open for submissions for project proposals in 2016

Call for Projects (PJ) 2016

- Knowledge and best practices on reducing underage drinking and heavy episodic drinking €1,200,000
- Knowledge and best practices to on measures to prevent illicit drug use €600,000
- Addressing the chronic disease challenge €2,500,000
- European Reference Networks €2,500,000
- Rare diseases - support for new registries €1,200,000
- Donor selection and protection €550,000
- Best practices in care provision for vulnerable migrants and refugees – projects €4,500,000
Electronic submission of project proposals

- Via participant portal
- Find a call -> each of the calls is published separately
- Administrative part = part A
- Technical part = part B
Part A

- Statements to be accepted e.g. on financial viability
- Financial self-check to be performed by each applicant
- If "weak" -> do not be discouraged to send a proposal
- Other statements on exclusion criteria, operational capacity etc.
- Overview budget per partner – need to establish this before filling part A!
Technical Part = Part B

- Maximum 80 pages – free text, tables & charts
- 50 pages for the technical description
- 30 pages for the budget tables

- One table per partner
- Overview table with person months per deliverable
Technical Part of the proposal

1. Problem analysis including evidence base
2. General objective of the project
   • 2.1. Specific objective(s) of the project
3. Target groups
4. Political Relevance
   • 4.1. Contribution to the EU Health Programme and its annual work plan
   • 4.2. Adequacy of the project with social, cultural and political context
   • 4.3. Social and economic impact
   • 4.4. Pertinence of geographical coverage
   • 4.5. Strategic relevance and EU added value and innovation
Technical Part of the proposal

5. Methods and means

6. Expected outcomes

7. Workpackages
   • 7.1. Overview on workpackages
   • 7.2. Work package descriptions
   • 7.3. Timetable or Gantt Chart
Technical Part of the proposal

8. Milestones and Deliverables
Note: deliverables at M18 (or earlier) needed!
No limit on how many deliverables!

9. Project management structure
   • 9.1. Quality of the partnership
   • 9.2. Capacity of the staff
   • 9.3. External and internal risk analysis and contingency planning
   • 9.4. Financial management
Technical Part of the proposal

10. BUDGET

- 10.1 Content description and justification
- 10.2 Summary of staff effort
- 10.3 Detailed budget

➢ Each partner should prepare a budget table & submit to coordinator
Technical Part of the proposal

11. Previous and current grants relevant to the programme (limited to the last 3 years)
12. Current applications relevant to the programme
13. Exceptional Utility
14. Collaborating stakeholders - table
Technical part – general points

- Follow the template, but write your own proposal
- Technical description and budget in the same file!
- Have the proposal peer reviewed with the "self-evaluation form"
- Proposal must be submitted in PDF format
- Proposal can be changed / resubmitted before the deadline

It is the coordinator's responsibility to up-load the correct file at the time of the deadline!
Annex

- If an applicant requests > 750 000€ EU co-funding, an audit report must be attached
Criteria to evaluate the proposals

• Eligibility criteria
• Exclusion criteria
• Selection criteria
• Award criteria
Eligibility criteria

• Applicants must be legally established organisations.
• Only applicants from the 28 EU Member States plus Norway and Iceland can apply.
• A project proposal must be submitted by at least 3 different legal entities from 3 different eligible countries.
• The only eligible activities are those listed in section 2.1 "Grants for projects" in the work programme 2014.
• The co-funding is meant for a future project. Running projects cannot be supported.
Exclusion criteria

Exclusion from participation:

- being bankrupt,
- convicted of an offence concerning professional conduct,
- guilty of grave professional misconduct
- not in compliance with their obligations relating to the payment of taxes

Exclusion from granting procedure:

- conflict of interest
- guilty of misrepresenting the information required by the Agency
Selection criteria

Financial viability

- Not if < 100 000€ EU co-funding or if public
- If > 750 000€ -> attach audit report
- Self-check must be performed

If the self-check result is "weak" do not be discouraged from applying!

Operational capacity

- Self-declaration
- Provide information on capacity
## Award criteria-I

<table>
<thead>
<tr>
<th></th>
<th>Maximum points</th>
<th>Threshold</th>
<th>Threshold in % of max. points</th>
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<tbody>
<tr>
<td>1 – policy relevance</td>
<td>10</td>
<td>7</td>
<td>70%</td>
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<tr>
<td>2 – technical quality</td>
<td>10</td>
<td>6</td>
<td>60%</td>
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<tr>
<td>3 – management quality</td>
<td>10</td>
<td>6</td>
<td>60%</td>
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<tr>
<td>4 – budget adequacy</td>
<td>10</td>
<td>6</td>
<td>60%</td>
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<tr>
<td><strong>TOTAL</strong></td>
<td><strong>40</strong></td>
<td><strong>26</strong></td>
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Award criterion 1 - Policy and contextual relevance

Sub-criteria:

• Relevance of the contribution to meeting the objectives and priorities defined in the annual work plan of the 3rd Health Programme, under which the call for proposals is published,
• Added value at EU level in the field of public health,
• Pertinence of the geographical coverage of the proposals is high,
• Consideration of the social, cultural and political context.
Award criterion 2 - Technical quality

Sub-criteria:

- Quality of the evidence base,
- Quality of the content,
- Innovative nature, technical complementarity and avoidance of duplication of other existing actions at EU level,
- Quality of the evaluation strategy,
- Quality of the dissemination strategy and plan.
Award criterion 3 - Management quality

Sub-criteria:

• Quality of the planning and appropriate task distribution to implement the project,
• Relevance of the organisational arrangements, including financial management,
• Quality of the partnership.
Award criterion 4 - Overall and detailed budget

Sub-criteria:

• Relevance and appropriateness of the budget,
• Consistency of the estimated cost per applicant and the corresponding activities,
• Realistic estimation of person months per work package
• The budget allocated for evaluation and dissemination is reasonable.
Evaluation of proposals

1. **Screening check**
   - Completeness, allocation to evaluators

2. **Financial & organisational analysis check**
   - Compliance with the selection criteria

3. **Evaluation of compliance with award criteria**
   - External evaluators

4. **Consensus meeting**
   - Chaired by a project officer.
   - Outcome: consensus evaluation report

5. **Evaluation committee**
   - Based on ranking:
     a) Ensure compliance with criteria
     b) Exclude potential duplication
     c) Decide on funding based on proposed co-funding and available budget

6. **Consensus meeting**
   - Chaired by a project officer.
   - Outcome: consensus evaluation report

7. **Adaptation**

8. **Award decision grant agreement**
Electronic Grant preparation

• Grant preparation online (ping-pong principle between agency and beneficiaries)

• Electronic signature by LEAR (Legal entity authorised representative) – no paper copies of grant agreement

• Partners join the agreement after signature

• Monitoring and reporting online: Deliverables, Payment requests, Reports, etc.
New Grant Agreement

- New model grant agreement aligned to H2020 procedures
- **Interim Payment** instead of 2\textsuperscript{nd} pre-financing
- Simplified cost structure (Staff, Other Costs, Subcontracting), **budget shifts without amendment**
- **All partners sign the grant agreement**
- Consortium agreement mandatory

Read the Grant Agreement (GA)!
Thank you very much for your attention!

Any questions?